

## On connections on principal bundles

INDRANIL BISWAS<sup>1</sup>

School of Mathematics, Tata Institute of Fundamental Research, Homi Bhabha Road, Mumbai 400005, India

Received 12 July 2016; accepted 5 August 2016

Available online 24 August 2016

**Abstract.** A new construction of a universal connection was given in Biswas, Hurtubise and Stasheff (2012). The main aim here is to explain this construction. A theorem of Atiyah and Weil says that a holomorphic vector bundle  $E$  over a compact Riemann surface admits a holomorphic connection if and only if the degree of every direct summand of  $E$  is zero. In Azad and Biswas (2002), this criterion was generalized to principal bundles on compact Riemann surfaces. This criterion for principal bundles is also explained.

**Keywords:** Principal bundle; Universal connection; Holomorphic connection; Real Higgs bundle

2010 Mathematics Subject Classification: 53C05; 53C07; 32L05

### 1. INTRODUCTION

A connection  $\nabla^0$  on a  $C^\infty$  principal  $G$ -bundle  $\mathcal{E}_G \rightarrow \mathcal{X}$  is called *universal* if given any  $C^\infty$  principal  $G$ -bundle  $E_G$  on a finite dimensional  $C^\infty$  manifold  $M$ , and any connection  $\nabla$  on  $E_G$ , there is a  $C^\infty$  map

$$\xi : M \rightarrow \mathcal{X}$$

such that

- the pulled back principal  $G$ -bundle  $\xi^*\mathcal{E}_G$  is isomorphic to  $E_G$ , and
- the isomorphism between  $\xi^*\mathcal{E}_G$  and  $E_G$  can be so chosen that it takes the pulled back connection  $\xi^*\nabla^0$  on  $\xi^*\mathcal{E}_G$  to the connection  $\nabla$  on  $E_G$ .

In [8] and [9] universal connections were constructed. In [4] a very simple, in fact quite tautological, universal connection was constructed.

*E-mail address:* [indranil@math.tifr.res.in](mailto:indranil@math.tifr.res.in).

<sup>1</sup> The author acknowledges the support of a J. C. Bose Fellowship.

Peer review under responsibility of King Saud University.



## 2. ATIYAH BUNDLE

All manifolds considered here will be  $C^\infty$ , second countable and Hausdorff. Later we will impose further conditions such as complex structure.

Let  $G$  be a finite dimensional Lie group. Take a connected  $C^\infty$  manifold  $M$ . A principal  $G$ -bundle over  $M$  is a triple of the form

$$(E_G, p, \psi), \tag{2.1}$$

where

(1)  $E_G$  is a  $C^\infty$  manifold,

(2)

$$p : E_G \longrightarrow M \tag{2.2}$$

is a  $C^\infty$  surjective submersion, and

(3)

$$\psi : E_G \times G \longrightarrow E_G \tag{2.3}$$

is a  $C^\infty$  map defining a right action of  $G$  on  $E_G$ , such that the following two conditions hold:

- the two maps  $p \circ \psi$  and  $p \circ p_1$  from  $E_G \times G$  to  $M$  coincide, where  $p_1$  is the natural projection of  $E_G \times G$  to  $E_G$ , and
- the map to the fiber product

$$\text{Id}_{E_G} \times \psi : E_G \times G \longrightarrow E_G \times_M E_G$$

is a diffeomorphism; note that the first condition  $p \circ \psi = p \circ p_1$  implies that the image of  $\text{Id}_{E_G} \times \psi$  is contained in the submanifold  $E_G \times_M E_G \subset E_G \times E_G$  consisting of all points  $(z_1, z_2) \in E_G \times E_G$  such that  $p(z_1) = p(z_2)$ .

Therefore, the first condition implies that  $G$  acts on  $E_G$  along the fibers of  $p$ , while the second condition implies that the action of  $G$  on each fiber of  $p$  is both free and transitive.

Take a  $C^\infty$  principal  $G$ -bundle  $(E_G, p, \psi)$  over  $M$ . The tangent bundle of the manifold  $E_G$  will be denoted by  $TE_G$ . Take a point  $x \in M$ . Let

$$(TE_G)^x := (TE_G)|_{p^{-1}(x)} \longrightarrow p^{-1}(x)$$

be the restriction of the vector bundle  $TE_G$  to the fiber  $p^{-1}(x)$  of  $p$  over the point  $x$ . As noted above, the action  $\psi$  of  $G$  on  $E_G$  preserves  $p^{-1}(x)$ , and the resulting action of  $G$  on  $p^{-1}(x)$  is free and transitive. Therefore, the action of  $G$  on  $TE_G$  given by  $\psi$  restricts to an action of  $G$  on  $(TE_G)^x$ . Let  $\text{At}(E_G)_x$  be the space of all  $G$ -invariant sections of  $(TE_G)^x$ . Since the action of  $G$  on the fiber  $p^{-1}(x)$  is transitive, it follows that any  $G$ -invariant section of  $(TE_G)^x$  is automatically smooth. More precisely, any  $G$ -invariant sections of  $(TE_G)^x$  is uniquely determined by its evaluation of some fixed point of  $p^{-1}(x)$ . Therefore,  $\text{At}(E_G)_x$  is a real vector space whose dimension coincides with the dimension of  $E_G$ .

There is a natural vector bundle over  $M$ , which was introduced in [1], whose fiber over any  $x \in M$  is  $\text{At}(E_G)_x$ . This vector bundle is known as the *Atiyah bundle*, and it is denoted by  $\text{At}(E_G)$ . We now recall the construction of  $\text{At}(E_G)$ .

As before, consider the action of  $G$  to  $TE_G$  given by the action  $\psi$  of  $G$  on  $E_G$ . Since the action of  $G$  is free and transitive on each fiber of  $p$ , it follows that this action of  $G$  on  $TE_G$  is free and proper. Therefore, we have a quotient manifold

$$\text{At}(E_G) := (TE_G)/G \quad (2.4)$$

for this action of  $G$  on  $TE_G$ . Since the natural projection  $TE_G \rightarrow E_G$  is  $G$ -equivariant, it produces a projection

$$\text{At}(E_G) := (TE_G)/G \rightarrow E_G/G = M. \quad (2.5)$$

This projection in (2.5) is clearly surjective. Furthermore, it is a submersion because the projection  $TE_G \rightarrow E_G$  is so. It is now straight-forward to check that the projection in (2.5) makes  $\text{At}(E_G)$  a  $C^\infty$  vector bundle over  $M$ . Its rank coincides with the rank of the tangent bundle  $TE_G$ , so its rank is  $\dim G + \dim M$ . From (2.4) it follows immediately that we have a natural diffeomorphism

$$\mu : p^*\text{At}(E_G) \rightarrow TE_G. \quad (2.6)$$

It is straight-forward to check that  $\mu$  is a  $C^\infty$  isomorphism of vector bundles over  $E_G$ .

Let

$$dp : TE_G \rightarrow p^*TM \quad (2.7)$$

be the differential of the projection  $p$  in (2.2). Consider the surjective  $C^\infty$  homomorphism of vector bundles

$$dp \circ \mu : p^*\text{At}(E_G) \rightarrow p^*TM, \quad (2.8)$$

where  $\mu$  is constructed in (2.6). Since  $p^*\text{At}(E_G)$  and  $p^*TM$  are pulled back to  $E_G$  from  $M = E_G/G$ , they are naturally equipped with an action of  $G$ . The homomorphism  $dp \circ \mu$  in (2.8) is clearly  $G$ -equivariant. Therefore, it descends to a surjective  $C^\infty$  homomorphism of vector bundles

$$\eta : \text{At}(E_G) \rightarrow TM. \quad (2.9)$$

The kernel of the differential  $dp$  in (2.7) is clearly preserved by the action of  $G$  on  $TE_G$ . The quotient  $\text{kernel}(dp)/G$  will be denoted by  $\text{ad}(E_G)$ . It is a  $C^\infty$  vector bundle on  $M$  whose rank is  $\dim G$ . The inclusion of  $\text{kernel}(dp)$  in  $TE_G$  produces a fiberwise injective  $C^\infty$  homomorphism of vector bundles

$$\iota_0 : \text{ad}(E_G) \rightarrow \text{At}(E_G).$$

The kernel of the homomorphism  $\eta$  in (2.9) coincides with the image of  $\iota_0$ . Therefore, we have a short exact sequence of  $C^\infty$  vector bundles over  $M$

$$0 \rightarrow \text{ad}(E_G) \xrightarrow{\iota_0} \text{At}(E_G) \xrightarrow{\eta} TM \rightarrow 0, \quad (2.10)$$

which is known as the *Atiyah exact sequence* for  $E_G$ . Using the Lie bracket operation of vector fields on  $E_G$ , the fibers of  $\text{ad}(E_G)$  are Lie algebras; this will be elaborated below.

The Lie algebra of  $G$  will be denoted by  $\mathfrak{g}$ . Consider the action of  $G$  on itself defined by  $\text{Ad}(g)(h) = g^{-1}hg$ . This action defines an action of  $G$  on  $\mathfrak{g}$ , which is known as the *adjoint action*; this adjoint action of  $G$  on  $\mathfrak{g}$  will also be denoted by  $\text{Ad}$ . Consider the quotient of

$E_G \times \mathfrak{g}$  where two points  $(z, v), (z', v') \in E_G \times \mathfrak{g}$  are identified if there is some  $g_0 \in G$  such that  $z' = zg_0$  and  $v' = \text{Ad}(g_0^{-1})(v)$ . This quotient space coincides with the total space of the adjoint vector bundle  $\text{ad}(E_G)$  in (2.10). Note that the projection

$$\text{ad}(E_G) \longrightarrow M \quad (2.11)$$

sends the equivalence class of any  $(z, v) \in E_G \times \mathfrak{g}$  to  $p(z)$  (it is clearly independent of the choice of the element in the equivalence class). The fibers of  $\text{ad}(E_G)$  are identified with  $\mathfrak{g}$  up to conjugation. Since the adjoint action of  $G$  on  $\mathfrak{g}$  preserves its Lie algebra structure, the fibers of  $\text{ad}(E_G)$  are in fact Lie algebras isomorphic to  $\mathfrak{g}$ . This Lie algebra structure of a fiber of  $\text{ad}(E_G)$  coincides with the one constructed earlier using the Lie bracket operation of vector fields. The pulled back vector bundle  $p^*\text{ad}(E_G)$  on  $E_G$  is identified with the trivial vector bundle  $E_G \times \mathfrak{g}$  with fiber  $\mathfrak{g}$ . This identification sends any vector  $(z, v) \in (p^*\text{ad}(E_G))_z$  in the fiber over  $z$  of the pulled back bundle to the element  $(z, v)$  of the trivial vector bundle  $E_G \times \mathfrak{g}$ .

A *connection* on  $E_G$  is a  $C^\infty$  splitting of the Atiyah exact sequence for  $E_G$  [1]. In other words, a connection on  $E_G$  is a  $C^\infty$  homomorphism of vector bundles

$$D : TM \longrightarrow \text{At}(E_G) \quad (2.12)$$

such that  $\eta \circ D = \text{Id}_{TM}$ , where  $\eta$  is the projection in (2.9).

Let

$$D : TM \longrightarrow \text{At}(E_G) \quad (2.13)$$

be a homomorphism defining a connection on  $E_G$ . Consider the composition homomorphism

$$p^*TM \xrightarrow{p^*D} p^*\text{At}(E_G) \xrightarrow{\mu} TE_G,$$

where  $\mu$  is the isomorphism in (2.6). Its image

$$\mathcal{H}(D) := (\mu \circ p^*D)(p^*TM) \subset TE_G \quad (2.14)$$

is known as the *horizontal subbundle* of  $TE_G$  for the connection  $D$ . Since  $\mu$  is an isomorphism, and the splitting homomorphism  $D$  in (2.13) is uniquely determined by its image  $D(TM) \subset \text{At}(E_G)$ , it follows immediately that the horizontal subbundle  $\mathcal{H}(D)$  determines the connection  $D$  uniquely.

The composition

$$\text{kernel}(dp) \hookrightarrow TE_G \longrightarrow TE_G/\mathcal{H}(D)$$

is an isomorphism. Hence we have

$$TE_G = \mathcal{H}(D) \oplus (E_G \times \mathfrak{g});$$

it was noted earlier that  $p^*\text{ad}(E_G)$  is identified with the trivial vector bundle  $E_G \times \mathfrak{g}$ . The projection of  $TE_G$  to the second factor of the above direct sum decomposition defines a  $\mathfrak{g}$ -valued smooth one-form on  $E_G$ . The connection  $D$  is clearly determined uniquely by this  $\mathfrak{g}$ -valued one-form on  $E_G$ .

See [4, p. 370, Lemma 2.2] for a proof of the following lemma:

**Lemma 2.1.** *Any principal  $G$ -bundle  $E_G \longrightarrow M$  admits a connection.*

*The space of all connections on a principal  $G$ -bundle  $E_G$  is an affine space for the vector space  $C^\infty(M; \text{Hom}(TM, \text{ad}(E_G)))$ .*

### 3. A UNIVERSAL CONNECTION

#### 3.1. A tautological connection

As before, let  $p : E_G \longrightarrow M$  be a  $C^\infty$  principal  $G$ -bundle. Consider the Atiyah exact sequence in (2.10). Tensoring it with the cotangent bundle  $T^*M = (TM)^*$  we get the following short exact sequence of vector bundles on  $M$

$$\begin{aligned} 0 &\longrightarrow \text{ad}(E_G) \otimes T^*M \longrightarrow \text{At}(E_G) \otimes T^*M \xrightarrow{\eta \otimes \text{Id}_{T^*M}} TM \otimes T^*M \\ &=: \text{End}(TM) \longrightarrow 0. \end{aligned} \quad (3.1)$$

Let  $\text{Id}_{TM}$  denote the identity automorphism of  $TM$ . It defines a  $C^\infty$  section of the endomorphism bundle  $\text{End}(TM)$ . Let

$$\delta : \mathcal{C}(E_G) := (\eta \otimes \text{Id}_{T^*M})^{-1}(\text{Id}_{TM}) \subset \text{At}(E_G) \otimes T^*M \longrightarrow M \quad (3.2)$$

be the fiber bundle over  $M$ , where  $\eta \otimes \text{Id}_{T^*M}$  is the surjective homomorphism in (3.1).

We recall that a connection on  $E_G$  is a  $C^\infty$  splitting of the Atiyah exact sequence.

See [4, p. 371, Lemma 3.1] for a proof of the following:

**Lemma 3.1.** *The space of all connections on  $E_G$  is in bijective correspondence with the space of all smooth sections of the fiber bundle*

$$\delta : \mathcal{C}(E_G) \longrightarrow M$$

constructed in (3.2).

Combining Lemma 2.1 with Lemma 3.1, the following is obtained.

**Corollary 3.2.** *The fiber bundle  $\delta$  in (3.2) is an affine bundle over  $M$  for the vector bundle  $\text{Hom}(TM, \text{ad}(E_G))$ . In particular, if we fix a connection on  $E_G$  (which exists by Lemma 2.1), then the fiber bundle in (3.2) gets identified with the total space of the vector bundle  $\text{Hom}(TM, \text{ad}(E_G))$ .*

See [4, p. 372, Proposition 3.3] for a proof of the following:

**Proposition 3.3.** *There is a tautological connection on the principal  $G$ -bundle  $\delta^*E_G$  over  $\mathcal{C}(E_G)$ .*

The key observations in the construction of the tautological connection in Proposition 3.3 are the following:

There is a tautological homomorphism

$$\beta : \delta^* \text{At}(E_G) \longrightarrow \delta^* \text{ad}(E_G) = \text{ad}(\delta^* E_G).$$

On the other hand, there is a tautological projection

$$\beta' : \text{At}(\delta^* E_G) \longrightarrow \delta^* \text{At}(E_G)$$

such that the diagram

$$\begin{array}{ccc} \text{At}(\delta^* E_G) & \xrightarrow{\beta'} & \delta^* \text{At}(E_G) \\ \downarrow & & \downarrow \delta^* \eta \\ TC(E_G) & \xrightarrow{d\delta} & \delta^* TM \end{array}$$

where the projection  $\text{At}(\delta^* E_G) \longrightarrow TC(E_G)$  is constructed as in (2.9) for the principal  $G$ -bundle  $\delta^* E_G$ . Finally, the composition

$$\beta \circ \beta' : \text{At}(\delta^* E_G) \longrightarrow \text{ad}(\delta^* E_G)$$

gives a splitting of the Atiyah exact sequence for  $\delta^* E_G$ . This splitting  $\beta \circ \beta'$  defines the tautological connection on  $\delta^* E_G$ .

The above tautological connection on the principal  $G$ -bundle  $\delta^* E_G$  will be denoted by  $\mathcal{D}_0$ .

In Lemma 3.1 we noted that the connections on  $E_G$  are in bijective correspondence with the smooth sections of  $\mathcal{C}(E_G)$ . Take any smooth section

$$\sigma : M \longrightarrow \mathcal{C}(E_G) \tag{3.3}$$

of the fiber bundle  $\mathcal{C}(E_G) \longrightarrow M$ . Let  $D(\sigma)$  be the corresponding connection on the principal  $G$ -bundle  $E_G$ . We note that  $\sigma^* \delta^* E_G = E_G$  because  $\delta \circ \sigma = \text{Id}_M$ .

The following lemma is a consequence of the construction of the tautological connection  $\mathcal{D}_0$ .

**Lemma 3.4.** *The connection  $D(\sigma)$  on  $E_G$  coincides with the pulled back connection  $\sigma^* \mathcal{D}_0$  on the principal  $G$ -bundle  $\sigma^* \delta^* E_G = E_G$ .*

### 3.2. Construction of universal connection

All infinite dimensional manifolds will be modeled on the direct limit  $\mathbb{R}^\infty$  of the sequence of vector spaces  $\{\mathbb{R}^n\}_{n>0}$  with natural inclusions  $\mathbb{R}^i \hookrightarrow \mathbb{R}^{i+1}$ .

Let

$$p_0 : E_G \longrightarrow B_G \tag{3.4}$$

be a universal principal  $G$ -bundle in the  $C^\infty$  category; see [7] for the construction of a universal principal  $G$ -bundle. So,  $B_G$  is a  $C^\infty$  manifold, the projection  $p_0$  is smooth, and  $E_G$  is contractible. Define

$$\mathcal{B}_G := B_G \times \mathbb{R}^\infty.$$

Define

$$\mathcal{E}_G := p_{B_G}^* E_G = E_G \times \mathbb{R}^\infty,$$

where  $p_{B_G} : B_G \times \mathbb{R}^\infty \longrightarrow B_G$  is the natural projection.

See [4, p. 374, Lemma 4.1] for a proof of the following:

**Lemma 3.5.** *The principal  $G$ -bundle*

$$p := p_0 \times \text{Id}_{\mathbb{R}^\infty} : \mathcal{E}_G \longrightarrow \mathcal{B}_G$$

*is universal.*

Set the principal  $G$ -bundle  $E_G \longrightarrow M$  in Section 3.1 to be  $\mathcal{E}_G \longrightarrow \mathcal{B}_G$ . Construct  $\mathcal{C}(\mathcal{E}_G)$  as in (3.2). Let

$$\delta : \mathcal{C}(\mathcal{E}_G) \longrightarrow \mathcal{B}_G \tag{3.5}$$

be the natural projection (see Lemma 3.1). Let  $\mathcal{D}_0$  be the tautological connection on  $\delta^*\mathcal{E}_G$  constructed in Proposition 3.3.

The following theorem is proved in [4, p. 375, Lemma 4.2].

**Theorem 3.6.** *The connection  $\mathcal{D}_0$  on the principal  $G$ -bundle  $\delta^*\mathcal{E}_G$  is universal.*

In Theorem 3.6, we took a special type of universal  $G$ -bundle, namely we took the Cartesian product of a universal  $G$ -bundle with  $\mathbb{R}^\infty$ . It should be mentioned that Theorem 3.6 is not valid if we do not take this Cartesian product. For example, take  $G$  to be the additive group  $\mathbb{R}^n$ . Since  $\mathbb{R}^n$  is contractible, the projection  $\mathbb{R}^n \longrightarrow \{\text{point}\}$  is a universal  $\mathbb{R}^n$ -bundle. Note that  $\mathcal{C}(\mathbb{R}^n)$  is a point. But the trivial principal  $\mathbb{R}^n$  bundle on any manifold  $X$  of dimension at least two admits connections with nonzero curvature.

#### 4. HOLOMORPHIC CONNECTIONS

Assume that  $M$  is a complex manifold and  $G$  is a complex Lie group. A *holomorphic* principal  $G$ -bundle on  $M$  is a triple  $(E_G, p, \psi)$  as in (2.1) such that  $E_G$  is a complex manifold, and both the maps  $p$  and  $\psi$  are holomorphic.

Let  $(E_G, p, \psi)$  be a holomorphic principal  $G$ -bundle on  $M$ . Consider the holomorphic tangent bundle  $T^{1,0}E_G$ , which is a holomorphic vector bundle on  $E_G$ . The real tangent bundle  $TE_G$  gets identified with  $T^{1,0}E_G$  in the obvious way. More precisely, the isomorphism  $T^{1,0}E_G \longrightarrow TE_G$  sends a tangent vector to its real part. Using this identification between  $T^{1,0}E_G$  and  $TE_G$ , the complex structure on the total space of  $T^{1,0}E_G$  produces a complex structure on the total space of  $TE_G$ . This complex structure on  $TE_G$  produces a complex structure on the quotient  $\text{At}(E_G)$  in (2.4), because the action of  $G$  on  $TE_G$  is holomorphic.

The differential  $dp$  in (2.7) is holomorphic, which makes the projection  $\eta$  in (2.9) holomorphic. The exact sequence in (2.10) becomes an exact sequence of holomorphic vector bundles. The holomorphic structure on  $E_G$  produces a holomorphic structure on any fiber bundle associated to  $E_G$  for a holomorphic action of  $G$ . In particular, the adjoint vector bundle  $\text{ad}(E_G)$  has a holomorphic structure, because the adjoint action of  $G$  on  $\mathfrak{g}$  is holomorphic. The homomorphism  $\iota_0$  in (2.10) is holomorphic with respect to this holomorphic structure on  $\text{ad}(E_G)$ .

A connection

$$D : TM \longrightarrow \text{At}(E_G)$$

on  $E_G$  as in (2.12) is called *holomorphic* if the homomorphism  $D$  is holomorphic.

##### 4.1. Holomorphic connection on principal bundles over a compact Riemann surface

Now take  $M$  to be a compact connected Riemann surface. It is natural to ask the question when a holomorphic vector bundle on  $M$  admits a holomorphic connection. Note that any holomorphic connection on a Riemann surface is automatically flat because there are no nonzero  $(2, 0)$  forms on a Riemann surface. A well-known theorem of Atiyah and Weil says

that a holomorphic vector bundle  $E$  over  $M$  admits a holomorphic connection if and only if each direct summand of  $E$  is of degree zero (see [1,11]). We will describe a generalization of it to principal bundles.

Let  $G$  be a complex connected reductive affine algebraic group. A parabolic subgroup of  $G$  is a Zariski closed connected subgroup  $P \subset G$  such that the quotient  $G/P$  is compact. A Levi subgroup of  $G$  is a Zariski closed connected subgroup

$$L \subset G$$

such that there is a parabolic subgroup  $P \subset G$  containing  $L$  that satisfies the following condition:  $L$  contains a maximal torus of  $P$ , and moreover  $L$  is a maximal reductive subgroup of  $P$ . Given a holomorphic principal  $G$ -bundle  $E_G$  on  $M$  and a complex Lie subgroup  $H \subset G$ , a holomorphic reduction of  $E_G$  to  $H$  is given by a holomorphic section of the holomorphic fiber bundle  $E_G/H$  over  $M$ . Let

$$q_H : E_G \longrightarrow E_G/H$$

be the quotient map. If  $\nu : M \longrightarrow E_G/H$  is a holomorphic section of the fiber bundle  $E_G/H$ , then note that  $q_H^{-1}(\nu(M)) \subset E_G$  is a holomorphic principal  $H$ -bundle on  $M$ . If  $E_H$  is a holomorphic principal  $H$ -bundle on  $M$ , and  $\chi$  is a holomorphic character of  $H$ , then the associated holomorphic line bundle  $E_H(\lambda) = (E_H \times \mathbb{C})/H$  is the quotient of  $E_H \times \mathbb{C}$ , where  $(z_1, c_1), (z_2, c_2) \in E_H \times \mathbb{C}$  are identified if there is an element  $g \in H$  such that

- $z_2 = z_1 g$ , and
- $c_2 = \frac{c_1}{\chi(g)}$ .

The following theorem is proved in [2] (see [2, Theorem 4.1]).

**Theorem 4.1.** *A holomorphic  $G$ -bundle  $E_G$  over  $M$  admits a holomorphic connection if and only if for every triple of the form  $(H, E_H, \lambda)$ , where*

- (1)  $H$  is a Levi subgroup of  $G$ ,
- (2)  $E_H \subset E_G$  is a holomorphic reduction of structure group to  $H$ , and
- (3)  $\lambda$  is a holomorphic character of  $H$ ,

*the associated line bundle  $E_H(\lambda) = (E_H \times \mathbb{C})/H$  over  $M$  is of degree zero.*

Note that setting  $G = \mathrm{GL}(n, \mathbb{C})$  in [Theorem 4.1](#) the above mentioned criterion of Atiyah and Weil is recovered.

We will describe a sketch of the proof of [Theorem 4.1](#).

Let  $E_G$  be a holomorphic  $G$ -bundle over  $M$  equipped with a holomorphic connection  $\nabla$ . Take any triple  $(H, E_H, \lambda)$  as in [Theorem 4.1](#). We will first show that the connection  $\nabla$  produces a holomorphic connection on the principal  $H$ -bundle  $E_H$ .

Let  $\mathfrak{g}$  and  $\mathfrak{h}$  denote the Lie algebras of  $G$  and  $H$  respectively. The group  $H$  has adjoint actions on both  $\mathfrak{h}$  and  $\mathfrak{g}$ . To construct the connection on  $E_H$ , fix a splitting of the injective homomorphism of  $H$ -modules

$$0 \longrightarrow \mathfrak{h} \longrightarrow \mathfrak{g}.$$

Since a holomorphic connection on  $E_G$  is given by a holomorphic splitting of the Atiyah exact sequence for  $E_G$ , a holomorphic connection  $\nabla$  on  $E_G$  produces a  $\mathfrak{g}$ -valued holomorphic 1-form  $\omega$  on  $E_G$  satisfying the following two conditions:



- $\omega$  is  $G$ -equivariant ( $G$  acts on  $\mathfrak{g}$  by inner automorphism), and
- the restriction of  $\omega$  to any fiber of  $E_G$  is the Maurer–Cartan form on the fiber.

Using the chosen splitting homomorphism

$$\mathfrak{g} \longrightarrow \mathfrak{h} \longrightarrow 0,$$

the connection form  $\omega$  on  $E_G$  defines a  $\mathfrak{h}$ -valued holomorphic one-form  $\omega'$  on  $E_G$ . The restriction of  $\omega'$  to the complex submanifold  $E_H \subset E_G$  satisfies the two conditions needed for a holomorphic  $\mathfrak{h}$ -valued 1-form on  $E_H$  to define a holomorphic connection on  $E_H$ .

Therefore,  $E_H$  admits a holomorphic connection. A holomorphic connection on  $E_H$  induces a holomorphic connection on the associated line bundle  $E_H(\lambda)$ . Any line bundle admitting a holomorphic connection must be of degree zero [1]. Therefore, if  $E_G$  admits a holomorphic connection then we know that the degree of  $E_H(\lambda)$  is zero.

To prove the converse, let  $E_G$  be a holomorphic  $G$ -bundle over  $M$  such that

$$\text{degree}(E_H(\lambda)) = 0$$

for all triples  $(H, E_H, \lambda)$  of the above type. We need to show that the Atiyah exact sequence for  $E_G$  in (2.10) splits holomorphically.

As the first step, in [2] the following is proved: it is enough to prove that the Atiyah exact sequence for  $E_G$  splits holomorphically under the assumption that  $E_G$  does not admit any holomorphic reduction of structure group to any proper Levi subgroup of  $G$ . Therefore, we assume that  $E_G$  does not admit any holomorphic reduction of structure group to any proper Levi subgroup of  $G$ .

Let  $\Omega_M^1$  denote the holomorphic cotangent bundle of  $M$ . The obstruction for splitting of the Atiyah exact sequence for  $E_G$  is an element

$$\tau(E_G) \in H^1(M, \Omega_M^1 \otimes \text{ad}(E_G)).$$

By Serre duality,

$$H^1(M, \Omega_M^1 \otimes \text{ad}(E_G)) = H^0(M, \text{ad}(E_G))^*.$$

So we have

$$\tau(E_G) \in H^1(M, \text{ad}(E_G))^*. \quad (4.1)$$

Any homomorphic section  $f$  of  $\text{ad}(E_G)$  has a Jordan decomposition

$$f = f_s + f_n,$$

where  $f_s$  is pointwise semisimple and  $f_n$  is pointwise nilpotent. From the assumption that  $E_G$  does not admit any holomorphic reduction of structure group to any proper Levi subgroup of  $G$  it follows that the semisimple section  $f_s$  is given by some element of the center of  $\mathfrak{g}$ . Using this, from the assumption on  $E_G$  it can be deduced that

$$\tau(E_G)(f_s) = 0,$$

where  $\tau(E_G)$  is the element in (4.1).

The nilpotent section  $f_n$  of  $\text{ad}(E_G)$  gives a holomorphic reduction of structure group  $E_P \subset E_G$  of  $E_G$  to a proper parabolic subgroup  $P$  of  $G$ . This reduction  $E_P$  has the property

that  $f_n$  lies in the image

$$H^0(M, \text{ad}(E_P)) \hookrightarrow H^0(M, \text{ad}(E_G)),$$

where  $\text{ad}(E_P)$  is the adjoint bundle of  $E_P$ . Using this reduction it can be shown that  $\tau(E_G)(f_n) = 0$ .

Hence  $\tau(E_G)(f) = 0$  for all  $f$ , which implies that  $\tau(E_G) = 0$ . Therefore, the Atiyah exact sequence for  $E_G$  splits holomorphically, implying that  $E_G$  admits a holomorphic connection.

## 5. REAL HIGGS BUNDLES

As before, let  $M$  be a compact connected Riemann surface. Let

$$\sigma : M \longrightarrow M$$

be an anti-holomorphic automorphism of order two. Take a holomorphic vector bundle  $E$  on  $M$  of rank  $r$ . Let  $\bar{E}$  denote the  $C^\infty\mathbb{C}$ -vector bundle on  $M$  of rank  $r$  whose underlying  $C^\infty\mathbb{R}$ -vector bundle is the  $\mathbb{R}$ -vector bundle underlying  $E$ , while the multiplication by  $\sqrt{-1}$  on the fibers of  $\bar{E}$  coincides with the multiplication by  $-\sqrt{-1}$  on the fibers of  $E$ . We note that the pullback  $\sigma^*\bar{E}$  has a natural structure of a holomorphic vector bundle. Indeed, a  $C^\infty$  section  $s$  of  $\sigma^*\bar{E}$  defined over an open subset  $U \subset M$  is holomorphic if the section  $\sigma^*s$  of  $E$  over  $\sigma(U)$  is holomorphic; this condition uniquely defines the holomorphic structure on  $\sigma^*\bar{E}$ . We use the terminology “ $\mathbb{R}$ -vector bundles” because the terminology “real vector bundles” will be used for something else.

If  $\alpha : A \longrightarrow B$  is a  $C^\infty$  homomorphism of holomorphic vector bundles on  $M$ , then  $\bar{\alpha}$  will denote the homomorphism  $\bar{A} \longrightarrow \bar{B}$  defined by  $\alpha$  using the identifications of  $A$  and  $B$  with  $\bar{A}$  and  $\bar{B}$  respectively. A *real structure* on  $E$  is a holomorphic isomorphism of vector bundles

$$\phi : E \longrightarrow \sigma^*\bar{E}$$

over the identity map of  $M$  such that the composition

$$E \xrightarrow{\phi} \sigma^*\bar{E} \xrightarrow{\sigma^*\bar{\phi}} \sigma^*\sigma^*\bar{E} = E \tag{5.1}$$

is the identity map of  $E$ .

A *quaternionic structure* on  $E$  is a holomorphic isomorphism of vector bundles

$$\phi : E \longrightarrow \sigma^*\bar{E}$$

over the identity map of  $M$  such that the composition  $E \longrightarrow E$  in (5.1) is  $-\text{Id}_E$ .

A *real vector bundle* on  $(M, \sigma)$  is a pair of the form  $(E, \phi)$ , where  $E$  is a holomorphic vector bundle on  $M$  and  $\phi$  is a real structure on  $E$ .

A *quaternionic vector bundle* on  $(M, \sigma)$  is a pair of the form  $(E, \phi)$ , where  $E$  is a holomorphic vector bundle on  $M$  and  $\phi$  is a quaternionic structure on  $E$ .

Consider the differential  $d\sigma : T^{\mathbb{R}}M \longrightarrow \sigma^*T^{\mathbb{R}}M$  of the automorphism  $\sigma$ . Since  $\sigma$  is anti-holomorphic, it produces an isomorphism

$$\sigma'' : T^{1,0}M \longrightarrow \sigma^*T^{0,1}M = \sigma^*\overline{T^{1,0}M}.$$

It is easy to check that  $\sigma''$  is holomorphic and it is a real structure on the holomorphic tangent bundle  $T^{1,0}M$ . Let

$$\sigma' : K_M := (T^{1,0}M)^* \longrightarrow \sigma^* \overline{K_M} \quad (5.2)$$

be the real structure on the holomorphic cotangent bundle  $K_M$  obtained from  $\sigma''$ .

We recall that a Higgs field on  $E$  is a holomorphic section of  $\text{Hom}(E, E \otimes K_M) = \text{End}(E) \otimes K_M$  [6,10]. A Higgs field  $\theta$  on a real or quaternionic vector bundle  $(E, \phi)$  is called *real* if the following diagram is commutative:

$$\begin{array}{ccc} E & \xrightarrow{\theta} & E \otimes K_M \\ \downarrow \phi & & \downarrow \phi \otimes \sigma' \\ \sigma^* \overline{E} & \xrightarrow{\sigma^* \overline{\theta}} & \sigma^* \overline{E} \otimes \overline{K_M} = \sigma^* \overline{E} \otimes \sigma^* \overline{K_M} \end{array}$$

where  $\sigma'$  is the isomorphism in (5.2). A *real* (respectively, quaternionic) Higgs bundle on  $(M, \sigma)$  is a triple of the form  $((E, \phi), \theta)$ , where  $(E, \phi)$  is a real (respectively, quaternionic) vector bundle on  $(M, \sigma)$  and  $\theta$  is a real Higgs field on  $(E, \phi)$ .

We recall that the *slope* of a holomorphic vector bundle  $W$  on  $M$  is the rational number  $\text{degree}(W)/\text{rank}(W) := \mu(W)$ . A real or quaternionic Higgs bundle  $((E, \phi), \theta)$  on  $(M, \sigma)$  is called *semistable* (respectively, *stable*) if for all nonzero holomorphic subbundles  $F \subsetneq E$  with

- (1)  $\phi(F) \subset \sigma^* \overline{F} \subset \sigma^* \overline{E}$ , and
- (2)  $\theta(F) \subset F \otimes K_M$ ,

we have  $\mu(F) \leq \mu(E)$  (respectively,  $\mu(F) < \mu(E)$ ). A semistable real (respectively, quaternionic) Higgs bundle is called *polystable* if it is a direct sum of stable real (respectively, quaternionic) Higgs bundles.

It is known that a real Higgs bundle  $((E, \phi), \theta)$  is semistable (respectively, polystable) if and only if the Higgs bundle  $(E, \theta)$  is semistable (respectively, polystable) [3, p. 2555, Lemma 5.3]. Similarly, a quaternionic Higgs bundle  $((E, \phi), \theta)$  is semistable (respectively, polystable) if and only if the Higgs bundle  $(E, \theta)$  is semistable (respectively, polystable).

A polystable Higgs vector bundle  $(E, \theta)$  of degree zero on  $M$  admits a harmonic metric  $h$  that satisfies the Yang–Mills–Higgs equation [10,5,6]. If  $((E, \phi), \theta)$  is real or quaternionic polystable of degree zero, then  $E$  admits a harmonic metric  $h$  because  $(E, \theta)$  is polystable of degree zero. The harmonic metric  $h$  on  $E$  can be so chosen that the isomorphism  $\phi$  is an isometry (note that  $h$  induces a Hermitian structure on  $\overline{E}$ ) [3, p. 2557, Proposition 5.5].

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